

# The de Rham Witt complex and crystalline cohomology

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If  $X/k$  is a smooth projective scheme over a perfect field  $k$ , let us try to find an explicit quasi-isomorphism  $Ru_{X/W*}(\mathcal{O}_{X/S}) \cong \mathcal{W}\Omega_X$ .<sup>1</sup> To do this we need an explicit representative of  $Ru_{X/W*}(\mathcal{O}_{X/S})$  together with its Frobenius action. The standard way to do this is to choose an embedding  $X \rightarrow \tilde{Y}$ , where  $\tilde{Y}/W$  is smooth and endowed with a lift  $\phi_{\tilde{Y}}$  of Frobenius. For example, if  $X$  is quasi-projective, we can let  $\tilde{Y}$  be a projective space  $\mathbf{P}^N$  endowed with the endomorphism defined by raising coordinates to their  $p$ th power. (If  $X$  is not projective, one can use local liftings and simplicial methods; which we shall not discuss here.) Once such an embedding  $(\tilde{Y}, \phi_{\tilde{Y}})$  is chosen, let  $Y$  be its reduction modulo  $p$ , let  $\tilde{D}$  denote the ( $p$ -adically complete) PD-envelope of  $X$  in  $\tilde{Y}$  and let  $D$  be its reduction modulo  $p$ . Then the  $\mathcal{O}_{\tilde{Y}}$ -module  $\mathcal{O}_{\tilde{D}}$  admits an integrable connection [1, 6.4], whose corresponding de Rham complex  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet$  is a representative of  $Ru_{X/W*}(\mathcal{O}_{X/W})$  [1, 7.1]. The assumed lifting  $\phi_{\tilde{Y}}$  of  $F_Y$  extends uniquely to a PD-morphism  $\phi_{\tilde{D}}$  of  $\tilde{D}$ . This morphism induces an endomorphism  $\phi_{\tilde{D}}^\bullet$  of  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet$ . Since  $X$  and  $\tilde{Y}$  are smooth, the terms of this complex are  $p$ -torsion free and  $p$ -adically complete. The endomorphism  $\phi_{\tilde{D}}^\bullet$  is  $\mathcal{O}_{\tilde{D}}$ -linear and  $\phi_{\tilde{D}}^\bullet$  vanishes on  $\Omega_{\tilde{Y}/k}^1$ , hence  $\phi_{\tilde{D}}^1$  is divisible by  $p$  and  $\phi_{\tilde{D}}^i = p^i F$  for a unique  $\mathcal{O}_{\tilde{D}}$ -linear endomorphism  $F$  of  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^i$ . Thus  $(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet, d, F)$  is a Dieudonné complex.

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<sup>1</sup>It is hard to find an explicit construction of this isomorphism in [2], although of course it does follow from the comparison [2, 4.4.12] with the classical de Rham Witt complex and Illusie's theorem [II 1.4][3]. In fact Illusie explains a new version of the proof in his note [4]. The method presented here is different. I would like to thank Illusie for very helpful conversations concerning it.

**Theorem 1** *Let  $X/k$  be a smooth scheme over a perfect field  $k$ , embedded as a locally closed subscheme of a smooth  $\tilde{Y}/W$  which is endowed with a lifting  $\phi_{\tilde{Y}}$  of the Frobenius endomorphism of its reduction  $Y/k$  modulo  $p$ . Then the Dieudonné complex  $(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\cdot, d, F)$  constructed above is in fact a (torsion-free) Dieudonné algebra. Moreover, the natural map*

$$(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\cdot, d) \rightarrow \mathcal{W}\text{Sat}(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\cdot, d)$$

*is a quasi-isomorphism, and the natural map*

$$\mathcal{W}\text{Sat}(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\cdot, d, F) \rightarrow (\mathcal{W}\Omega_X^\cdot, d, F)$$

*is an isomorphism. Thus,  $(\mathcal{W}\Omega_X^\cdot, d)$  is a representative of  $Ru_{X/W*}(\mathcal{O}_{X/W})$ .*

*Proof:* To see that  $(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\cdot, D, F)$  is a Dieudonné algebra, we must show that  $\phi_{\tilde{D}}: \tilde{D} \rightarrow \tilde{D}$  reduces to the Frobenius endomorphism  $F_D$  of  $D$  [2, 3.1.2]. The reduction  $\phi_D$  of  $\phi_{\tilde{D}}$  is the unique PD morphism  $D \rightarrow D$  extending  $F_Y$ , and so it will suffice to show that  $F_D$  is in fact a PD-morphism. But if  $t$  is an element of the PD-ideal  $\bar{I}$  of  $X$  in  $D$ , then  $F_D^*(t) = t^p = p!t^{[p]} = 0$ , and hence for any  $n \geq 1$ ,  $F_D \circ \gamma_n = \gamma_n \circ F_D = 0$ .

Note that  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\cdot$  is not the same as the the de Rham complex of  $\tilde{D}$ ; the latter has a lot of  $p$ -torsion.

**Lemma 2** *In the following diagram, the lower triangle commutes, even though the upper one does not. (NB: here we always mean the  $p$ -adically completed de Rham complexes; and in particular we are dividing by the  $p$ -adic closure of the torsion in the lower right hand corner.)*

$$\begin{array}{ccc} \mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^1 & \xrightarrow{t} & \Omega_{\tilde{D}/W}^1 \\ \uparrow \nabla & \nearrow d & \downarrow \pi \\ \mathcal{O}_{\tilde{D}} & \xrightarrow{\bar{d}} & \Omega_{\tilde{D}/W}^1 / (\text{torsion})^- \end{array}$$

*Furthermore, the composite*

$$\bar{t}: \mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^1 \longrightarrow \Omega_{\tilde{D}/W}^1 / (\text{torsion})^-$$

*is an isomorphism.*

*Proof:* The top horizontal arrow in the diagram is induced by adjunction. The algebra  $\mathcal{O}_D$  is locally generated over  $\mathcal{O}_{\tilde{Y}}$  by the divided powers  $f^{[n]}$  of elements  $f$  of the ideal of  $X$  in  $\tilde{Y}$ , for  $n \geq 1$ . For any such  $f$ , we have  $\nabla f^{[n]} = f^{[n-1]} \otimes df$  in  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^1$  [1, 6.4]. On the other hand, since  $n!f^{[n]} = f^n$  and  $n!f^{[n-1]} = nf^{n-1}$ , we have

$$n!df^{[n]} = d(n!f^{[n]}) = df^n = nf^{n-1}df = n(n-1)!f^{[n-1]}df = n!f^{[n-1]}df$$

in  $\Omega_{\tilde{D}/W}^1$ . Thus  $\nabla f^{[n]}$  and  $df^{[n]}$  have the same image in  $\Omega_{\tilde{D}/W}^1/(torsion)$ , so the lower triangle commutes.

Since  $d: \mathcal{O}_{\tilde{D}} \rightarrow \Omega_{\tilde{D}/W}^1$  is the universal derivation to a  $p$ -adically complete sheaf of  $\mathcal{O}_{\tilde{D}}$ -modules, there is a unique map  $s: \Omega_{\tilde{D}/W}^1 \rightarrow \mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}}^1$  such that  $s \circ d = \nabla$ ; this map factors through a map

$$\bar{s}: \Omega_{\tilde{D}/W}^1/(torsion)^- \rightarrow \mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}}^1.$$

Then

$$\bar{t} \circ s \circ d = \pi \circ t \circ s \circ d = \pi \circ t \circ \nabla = \pi \circ d,$$

and it follows that  $\bar{t} \circ s = \pi$  and hence that  $\bar{t} \circ \bar{s} = \text{id}$ . On the other hand, if  $f$  is a local section of  $\mathcal{O}_{\tilde{Y}}$ , then  $f$  can also be viewed as a section of  $\mathcal{O}_{\tilde{D}}$ , and  $\nabla f = 1 \otimes df$  in  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^1$ . Thus the upper right triangle of the diagram does commute when restricted to  $\mathcal{O}_{\tilde{Y}}$ , and it follows that  $\bar{s} \circ \bar{t} = \text{id}$ .  $\square$

Since  $(\tilde{D}, \phi_{\tilde{D}})$  is a  $p$ -torsion free lifting of  $(D, F_D)$  so by [2, 3.2.1], there is an endomorphism  $F$  of the graded abelian sheaf  $\Omega_{\tilde{D}/W}$  which gives it the structure of a Dieudonné algebra.

**Lemma 3** *The map  $t$  in Lemma 2 induces an isomorphism of Dieudonné algebras:*

$$w: \mathcal{W}\text{Sat}(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}, d, F) \rightarrow \mathcal{W}\text{Sat}(\Omega_{\tilde{D}/W}, d, F).$$

*Proof:* By construction, the natural map

$$\text{Sat}(\Omega_{\tilde{D}/W}, d, F) \rightarrow \text{Sat}(\Omega_{\tilde{D}/W}, d, F)/(torsion).$$

is an isomorphism, and hence so is the natural map

$$\mathcal{W}\text{Sat}(\Omega_{\tilde{D}/W}, d, F) \rightarrow \mathcal{W}\text{Sat}(\Omega_{\tilde{D}/W}, d, F)/(torsion)^-.$$

since both are  $p$ -adically complete. Thus the result follows from the second statement of Lemma 2.  $\square$

Since  $(\tilde{D}, \phi_{\tilde{D}})$  is a  $p$ -torsion free lifting of  $(D, F_D)$ , [2, 4.2.3] implies that there is an isomorphism of Dieudonné algebras:

$$\mathcal{W}Sat(\Omega_{\tilde{D}/W}^\bullet, d, F) \rightarrow (\mathcal{W}\Omega_D^\bullet, d.F).$$

Thus we find a commutative diagram

$$\begin{array}{ccccc} \mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet & \xrightarrow{\bar{t}} & \Omega_{\tilde{D}/W}^\bullet / (\text{torsion})^- & & \\ \downarrow s & & \downarrow & & \\ \mathcal{W}Sat(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet) & \xrightarrow{w} & \mathcal{W}Sat(\Omega_{\tilde{D}/W}^\bullet) & \xrightarrow{\cong} & \mathcal{W}\Omega_D^\bullet \\ & & & \searrow g & \\ & & & & \mathcal{W}\Omega_X^\bullet \end{array}$$

We have seen that  $\bar{t}$  and  $w$  are isomorphisms. Since  $X$  is the reduced subscheme of  $D$ , the following lemma, which is a consequence of [2, 6.5.2] and also of the easier [2, 36.1], implies that  $g$  is also an isomorphism.

**Lemma 4** *If  $Z$  is scheme over  $\mathbf{F}_p$ , the natural map  $Z_{red} \rightarrow Z$  induces an isomorphism  $\mathcal{W}\Omega_Z^\bullet \rightarrow \mathcal{W}\Omega_{Z_{red}}^\bullet$ .  $\square$*

We conclude that the natural map  $\mathcal{W}Sat(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet) \rightarrow \mathcal{W}\Omega_X^\bullet$  is an isomorphism, as asserted in the second statement of Theorem 1.

It remains to prove that the map  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet \rightarrow \mathcal{W}Sat(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet)$  is a quasi-isomorphism. The complex  $\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet$  is not of Cartier type, and I could not find a direct reference in [2] which proves this. But it suffices to copy some of its arguments. By [1, 8.20], applied to the constant gauge  $\epsilon = 0$ , the morphism  $\phi_{\tilde{D}}^\bullet$  factors through a quasi-isomorphism

$$\alpha: \mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet \rightarrow \eta_p(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet).$$

It follows that  $\eta_p^n(\alpha)$  is a quasi-isomorphism for every  $n$ , and hence that the map

$$\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet \rightarrow \varinjlim \eta_p^n(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet) = Sat(\mathcal{O}_{\tilde{D}} \otimes \Omega_{\tilde{Y}/W}^\bullet)$$

is also a quasi-isomorphism. Since both complexes are  $p$ -torsion free, this map remains a quasi-isomorphism when reduced modulo  $p^n$  for every  $n$ , and by [2, 2.8.1], the map

$$\text{Sat}(\mathcal{O}_{\bar{D}} \otimes \Omega_{\bar{Y}/W}^\bullet) \otimes \mathbf{Z}/p^n\mathbf{Z} \rightarrow \mathcal{W}\text{Sat}(\mathcal{O}_{\bar{D}} \otimes \Omega_{\bar{Y}/W}^\bullet) \otimes \mathbf{Z}/p^n\mathbf{Z}$$

is also a quasi-isomorphism for every  $n$ . We conclude that the map

$$\mathcal{O}_{\bar{D}} \otimes \Omega_{\bar{Y}/W}^\bullet \rightarrow \mathcal{W}\text{Sat}(\mathcal{O}_{\bar{D}} \otimes \Omega_{\bar{Y}/W}^\bullet)$$

is a quasi-isomorphism when reduced modulo  $p$ . Since both sides are  $p$ -adically complete and  $p$ -torsion free, it follows that it too is a quasi-isomorphism. (not safe to pass to limit).  $\square$

## References

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